



# Client information to look for at tax time

Client Name \_\_\_\_\_ Date \_\_\_\_\_

Is this an existing Investment Client?  Yes  No

## Data Obtained From Tax Return

Is the client married?  Yes  No

What is the client(s) annual income? \$ \_\_\_\_\_

Does the client have:

Taxable Interest?	<input type="checkbox"/> Yes	<input type="checkbox"/> No	Tax-Exempt Interest?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
Dividend Income?	<input type="checkbox"/> Yes	<input type="checkbox"/> No	Business Income?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
Capital Gains/Losses?	<input type="checkbox"/> Yes	<input type="checkbox"/> No	IRA Distributions?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
Pensions and Annuities?	<input type="checkbox"/> Yes	<input type="checkbox"/> No	Retirement Plan from work?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
IRA Deduction	<input type="checkbox"/> Yes	<input type="checkbox"/> No			

Does the client have pre-college children living at home?  Yes  No

Will they need an education savings vehicle?  Yes  No

## Data Obtained By Asking Questions

Does the client have a Will?  Yes  No A Trust?  Yes  No

When does the client want to retire? \_\_\_\_\_

Has the client determined how much money they will need during retirement?  Yes  No

Does the client have:

Life Insurance?	<input type="checkbox"/> Yes	<input type="checkbox"/> No	Long-Term Disability?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
Long-Term Care?	<input type="checkbox"/> Yes	<input type="checkbox"/> No	Health Insurance?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
Personal Liability Coverage?	<input type="checkbox"/> Yes	<input type="checkbox"/> No	An emergency fund?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
			Non-deductible interest paid?	<input type="checkbox"/> Yes	<input type="checkbox"/> No

Has the client done any estate planning?  Yes  No

Does the client have family relatives who may depend on them financially?  Yes  No